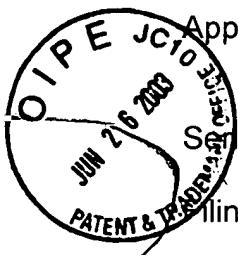


3762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: John M. Adams, David G. Reuter, Mark L. Mathis, and  
Scott J. Wolf

Serial No.: 10/003,910

Filing Date: November 1, 2001

Title: FOCUSED COMPRESSION MITRAL VALVE DEVICE AND  
METHOD

Examiner/Unit: Unknown / 3762

Attorney Docket No.: 1931-6-3

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TECHNOLOGY CENTER R3700

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23 day of June, 2003.

Kelly Redmon  
Signature

RULE 56 INFORMATION DISCLOSURE AND  
ACCOMPANYING SECTION 1.97(e) CERTIFICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the documents are enclosed.

**Certification Under Section 1.97(e)**

The undersigned hereby certifies that this information was not known to individuals associated with the filing or prosecution of the subject patent application in accordance with Section 1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

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